

**\*THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019\***

**UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO**



----- X  
In re: :  
THE FINANCIAL OVERSIGHT AND : PROMESA  
MANAGEMENT BOARD FOR PUERTO RICO, : Title III  
as representative of : Case No. 17-BK-3283 (LTS)  
THE COMMONWEALTH OF PUERTO RICO *et al.*, : (Jointly Administered)  
Debtors.<sup>1</sup> :  
----- X

In re: :  
THE FINANCIAL OVERSIGHT AND : PROMESA  
MANAGEMENT BOARD FOR PUERTO RICO, : Title III  
as representative of : Case No. 17-BK-3566 (LTS)  
THE EMPLOYEES RETIREMENT SYSTEM OF THE :  
GOVERNMENT OF THE COMMONWEALTH OF :  
PUERTO RICO, :  
Debtor. :  
----- X

RECEIVED & FILED  
2019 NOV - 1 AM 9:30  
CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, PR

**NOTICE OF PARTICIPATION IN LITIGATION  
OF OBJECTIONS TO ERS BOND CLAIMS**

<sup>1</sup> The Debtors in these Title III cases, along with each Debtor's respective Title III case number and the last four (4) digits of each Debtor's federal tax identification number, as applicable, are the (i) Commonwealth of Puerto Rico (the "Commonwealth") (Bankruptcy Case No. 17-BK-3283 (LTS)) (Last Four Digits of Federal Tax ID: 3481); (ii) Puerto Rico Sales Tax Financing Corporation ("COFINA") (Bankruptcy Case No. 17-BK-3284 (LTS)) (Last Four Digits of Federal Tax ID: 8474); (iii) Puerto Rico Highways and Transportation Authority ("HTA") (Bankruptcy Case No. 17-BK-3567 (LTS)) (Last Four Digits of Federal Tax ID: 3808); (iv) Employees Retirement System of the Government of the Commonwealth of Puerto Rico ("ERS") (Bankruptcy Case No. 17-BK-3566 (LTS)) (Last Four Digits of Federal Tax ID: 9686); and (v) Puerto Rico Electric Power Authority ("PREPA") (Bankruptcy Case No. 17-BK-4780 (LTS)) (Last Four Digits of Federal Tax ID: 3747) (Title III case numbers are listed as Bankruptcy Case numbers due to software limitations).

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Absent permission granted by the District Court upon a showing of good cause, any party that has notice of the Initial Objection Procedures but (a) fails to file a Notice of Participation or (b) files a Notice of Participation after November 18, 2019, shall be prohibited from filing separate pleadings with respect to the Claim Objections (as defined in the Initial Objection Procedures), including filing any Additional Claim Objections (as defined in the Initial Objection Procedures).

The party identified below ("Participant") hereby advises the Official Committee of Unsecured Creditors, the Official Committee of Retired Employees of the Commonwealth of Puerto Rico, the Financial Oversight and Management Board for Puerto Rico, the Special Claims Committee of the Financial Oversight and Management Board for Puerto Rico, and the Puerto Rico Fiscal Agency and Financial Advisory Authority that it intends to participate in the litigation of the Claim Objections with respect to bonds issued by the Employees Retirement System of the Government of the Commonwealth of Puerto Rico in 2008 (the "ERS Bonds").

To ensure full participation rights in the litigation of the Claim Objections, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

**Participant Name and Contact Information**

**Counsel Contact Information (if any)**

Maria de L. Yaguez  
Participant Name

\_\_\_\_\_  
Firm Name (if applicable)

\_\_\_\_\_  
Contact Person (if Participant is not an individual)

\_\_\_\_\_  
Contact Person

\_\_\_\_\_  
Email Address

\_\_\_\_\_  
Email Address

urb Villardero Conas  
Address line 1

\_\_\_\_\_  
Address line 1

c/Stgo Guerra 1309 Ponce  
Address line 2  
PR 00728

\_\_\_\_\_  
Address line 2

\_\_\_\_\_  
City, State Zip Code

\_\_\_\_\_  
City, State Zip Code

\_\_\_\_\_  
Country

\_\_\_\_\_  
Country

2. Participant advises that it (choose **one** of the following by marking "X" in the appropriate space):

\_\_\_\_\_  
intends to **support** the relief requested in the Claim Objections (i.e., Participant believes the District Court should find that the ERS Bonds are **invalid**); or

☒ intends to **oppose** the relief requested in the Claim Objections (i.e., Participant believes that the District Court should find that the ERS Bonds are **valid**)

CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, P.R.

[illegible]

In re:

THE FINANCIAL OVERSIGHT AND  
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE EMPLOYEES RETIREMENT SYSTEM OF THE  
GOVERNMENT OF THE COMMONWEALTH OF  
PUERTO RICO,

Debtor.

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: PROMESA  
: Title III  
:  
: Case No. 17-BK-3566 (LTS)  
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3. If Participant is not a holder of an ERS Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more ERS Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

- (a) Provide the CUSIP Numbers of all ERS Bonds held by Participant:

29216 MAC4  
29216 MBN9

- (b) Did Participant purchase any of its ERS Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By: \_\_\_\_\_

Signature

Print Name

\_\_\_\_\_  
Title (if Participant is not an Individual)

\_\_\_\_\_  
Date

**Instructions for Serving and Filing Notice of Participation:** This Notice of Participation must be (i) **served** by email on the Notice Parties set forth in paragraph 8 of the Objection Procedures and (ii) **filed** electronically with the District Court pursuant to its Electronic Case Filing procedures. **If the Participant is not represented by counsel, the Participant may file a paper copy of this Notice of Participation with the District Court by delivering such Notice of Participation by mail or by hand addressed to: The Clerk of the United States District Court for the District of Puerto Rico, Room 150 Federal Building, 150 Carlos Chardon Avenue, San Juan, PR 00918-1767.**



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The party identified below ("Participant") hereby advises the Official Committee of Unsecured Creditors, the Official Committee of Retired Employees of the Commonwealth of Puerto Rico, the Financial Oversight and Management Board for Puerto Rico, the Special Claims Committee of the Financial Oversight and Management Board for Puerto Rico, and the Puerto Rico Fiscal Agency and Financial Advisory Authority that it intends to participate in the litigation of the Claim Objections with respect to bonds issued by the Employees Retirement System of the Government of the Commonwealth of Puerto Rico in 2008 (the "ERS Bonds").

To ensure full participation rights in the litigation of the Claim Objections, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

**Participant Name and Contact Information**

**Counsel Contact Information (if any)**

ORLANDO MARCHENA A  
Participant Name

\_\_\_\_\_  
Firm Name (if applicable)

\_\_\_\_\_  
Contact Person (if Participant is not an individual)

\_\_\_\_\_  
Contact Person

ORLY5270@YAHOO.COM  
Email Address

\_\_\_\_\_  
Email Address

609 AVENIDA CASTRO  
Address line 1

\_\_\_\_\_  
Address line 1

STE 102 PMB 353  
Address line 2

\_\_\_\_\_  
Address line 2

PO BOX 00716  
City, State Zip Code

\_\_\_\_\_  
City, State Zip Code

\_\_\_\_\_  
Country

\_\_\_\_\_  
Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

\_\_\_\_\_  
intends to **support** the relief requested in the Claim Objections (i.e., Participant believes the District Court should find that the ERS Bonds are **invalid**); or

X  
intends to **oppose** the relief requested in the Claim Objections (i.e., Participant believes that the District Court should find that the ERS Bonds are **valid**)

**\*THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019\***

3. If Participant is not a holder of an ERS Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more ERS Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

(a) Provide the CUSIP Numbers of all ERS Bonds held by Participant: 28216 MAC4.

(b) Did Participant purchase any of its ERS Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By: \_\_\_\_\_

Signature

VILLANO MARCHENA S  
Print Name

\_\_\_\_\_  
Title (if Participant is not an Individual)

10.29.19  
Date

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RECEIVED & FILED

2019 NOV -1 PM 5:03

CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, P.R.

ORLANDO MARTINEZ  
609 AVCTOR GSTR  
STE 102 PMB 353  
PO BOX 200716

SAN JUAN PR 009

30 OCT 2019 PM 3:1



FOREVER / USA

The clerk of the United States District Courts

For the District of Puerto Rico  
Room 150 Federal Building  
150 Carlos Chardón Avenue  
San Juan, PR 00918-1767

0091861703



RECEIVED & FILED

\*THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019\*

2019 NOV -1 PM 5:06

UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO

CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, P.R.

----- X  
In re: :  
: THE FINANCIAL OVERSIGHT AND : PROMESA  
MANAGEMENT BOARD FOR PUERTO RICO, : Title III  
: as representative of : Case No. 17-BK-3283 (LTS)  
: THE COMMONWEALTH OF PUERTO RICO *et al.*, : (Jointly Administered)  
: Debtors.<sup>1</sup> :  
----- X

----- X  
In re: :  
: THE FINANCIAL OVERSIGHT AND : PROMESA  
MANAGEMENT BOARD FOR PUERTO RICO, : Title III  
: as representative of : Case No. 17-BK-3566 (LTS)  
: THE EMPLOYEES RETIREMENT SYSTEM OF THE :  
GOVERNMENT OF THE COMMONWEALTH OF :  
PUERTO RICO, :  
: Debtor. :  
----- X

**NOTICE OF PARTICIPATION IN LITIGATION  
OF OBJECTIONS TO ERS BOND CLAIMS**

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1. Participant's contact information, including email address, and that of its counsel, if any:

**Participant Name and Contact Information**

LUIS A. COLON AND MARIA A. DE COLON

Participant Name

Contact Person (if Participant is not an individual)

BERTIE COLON @ GMAIL.COM

Email Address

URB TORRIMAR

Address line 1

5-2 RAMIREZ DE ARELLANO

Address line 2

GUAYNABO, P.R. 00966

City, State Zip Code

USA

Country

**Counsel Contact Information (if any)**

Firm Name (if applicable)

Contact Person

Email Address

Address line 1

Address line 2

City, State Zip Code

Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

           intends to support the relief requested in the Claim Objections (i.e., Participant believes the District Court should find that the ERS Bonds are invalid); or

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29216 MBN9

- (b) Did Participant purchase any of its ERS Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By: [Signature] [Signature]  
Signature  
LUIS A. COLON/MARIA A. DE COLON  
Print Name

Title (if Participant is not an Individual)

10/30/2019  
Date

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COLON  
URB. TURRIMAR  
S-2 RAMIREZ DE ARELLANO  
GUAYNABO, PR 00966

Case:17-03283-LTS Doc#:9100-1 Filed:11/01/19 Entered:11/04/19 14:47:32 Desc:  
Pro Se Notices of Participation Page 11 of 61

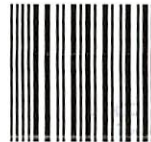
CERTIFIED MAIL



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U.S. POSTAGE PAID  
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BAYAMON, PR  
00959  
OCT 30, 19  
AMOUNT

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THE CLERK OF THE US DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO  
ROOM 150 FEDERAL BUILDING  
150 CARLOS CHARDON AVENUE  
SAN JUAN, PR 00918-1767

00918\$1703 C018



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**UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO**

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In re: :  
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Debtors.<sup>1</sup> :  
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THE FINANCIAL OVERSIGHT AND : PROMESA  
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as representative of : Case No. 17-BK-3566 (LTS)  
THE EMPLOYEES RETIREMENT SYSTEM OF THE :  
GOVERNMENT OF THE COMMONWEALTH OF :  
PUERTO RICO, :  
Debtor. :  
----- X

RECEIVED  
2019 NOV - 1 AM 9:30  
CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, P.R.

**NOTICE OF PARTICIPATION IN LITIGATION  
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1. Participant's contact information, including email address, and that of its counsel, if any:

**Participant Name and Contact Information**

Francisco Sosa Rentas

Participant Name

Contact Person (if Participant is not an individual)

N/A

Email Address

P.O. Box 34

Address line 1

P.R.

Address line 2

Mercedita P.R. 00715

City, State Zip Code

Country

**Counsel Contact Information (if any)**

Firm Name (if applicable)

Contact Person

Email Address

Address line 1

Address line 2

City, State Zip Code

Country

2. Participant advises that it (choose **one** of the following by marking "X" in the appropriate space):

           intends to **support** the relief requested in the Claim Objections (i.e., Participant believes the District Court should find that the ERS Bonds are **invalid**); or

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(a) Provide the CUSIP Numbers of all ERS Bonds held by Participant:

- 292 16 MAC4

(b) Did Participant purchase any of its ERS Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By:

Francisco Sosa Renta  
Signature

Francisco Sosa Renta  
Print Name

Title (if Participant is not an Individual)

OCT 24 2019  
Date

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**FRANCISCO SOSA**  
**PO BOX 34**  
**MERCEDITA PR 00715**

RECEIVED & FILED

2019 NOV -1 AM 9:30

CLERK OF COURT  
U.S. DISTRICT COURT  
SAN JUAN, P.R.

**The Clerk of the United States District  
Court for the District of Puerto Rico  
Room 150 Federal Building  
150 Carlos Chardon Avenue  
San Juan PR 00918-1767**

**SCREENED MAIL  
USMS**

RECEIVED & FILED  
2019 NOV -1 PM 5:01  
CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, P.R.

Debtors.<sup>1</sup>

X  
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 : PROMESA  
 : Title III  
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 : Case No. 17-BK-3283 (LTS)  
 :  
 : (Jointly Administered)

Debtor.

X  
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: PROMESA  
: Title III  
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: Case No. 17-BK-3566 (LTS)  
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**Participant Name and Contact Information**

Francesca Turci  
Participant Name

\_\_\_\_\_  
Contact Person (if Participant is not an individual)

\_\_\_\_\_  
Email Address

107 C Hawthorne Ave  
Address line 1

\_\_\_\_\_  
Address line 2

Park Ridge NJ 07656  
City, State Zip Code

USA  
Country

**Counsel Contact Information (if any)**

\_\_\_\_\_  
Firm Name (if applicable)

\_\_\_\_\_  
Contact Person

\_\_\_\_\_  
Email Address

\_\_\_\_\_  
Address line 1

\_\_\_\_\_  
Address line 2

\_\_\_\_\_  
City, State Zip Code

\_\_\_\_\_  
Country

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☐ intends to **oppose** the relief requested in the Claim Objections (i.e., Participant believes that the District Court should find that the ERS Bonds are **valid**)

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3. If Participant is not a holder of an ERS Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more ERS Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

(a) Provide the CUSIP Numbers of all ERS Bonds held by Participant:

(b) Did Participant purchase any of its ERS Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By: Francesca Turci  
Signature

Francesca Turci  
Print Name

\_\_\_\_\_  
Title (if Participant is not an Individual)

10/22/19  
Date

**Instructions for Serving and Filing Notice of Participation:** This Notice of Participation must be (i) served by email on the Notice Parties set forth in paragraph 8 of the Objection Procedures and (ii) filed electronically with the District Court pursuant to its Electronic Case Filing procedures. **If the Participant is not represented by counsel, the Participant may file a paper copy of this Notice of Participation with the District Court by delivering such Notice of Participation by mail or by hand addressed to: The Clerk of the United States District Court for the District of Puerto Rico, Room 150 Federal Building, 150 Carlos Chardon Avenue, San Juan, PR 00918-1767.**

Mr. & Mrs. Gaglioti  
C Hawthorne Ave  
Park Ridge, NJ 07656

RECEIVED & FILED

2019 NOV -1 PM 5:01

CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, P.R.

The Clerk of the US District Court  
for the district of Puerto Rico  
Room 150 Federal Building  
150 Carlos Chardon Ave  
San Juan PR  
00918-1767

DO DANIELS M0070

29 OCT 2019 PM 2:1



00918-170625



The Debtors in these Title III cases, along with each Debtor's respective Title III case number and the last four (4) digits of each Debtor's federal tax identification number, as applicable, are the (i) Commonwealth of Puerto Rico (the "Commonwealth") (Bankruptcy Case No. 17-BK-3283 (LTS)) (Last Four Digits of Federal Tax ID: 3481); (ii) Puerto Rico Sales Tax Financing Corporation ("COFINA") (Bankruptcy Case No. 17-BK-3284 (LTS)) (Last Four Digits of Federal Tax ID: 8474); (iii) Puerto Rico Highways and Transportation Authority ("HTA") (Bankruptcy Case No. 17-BK-3567 (LTS)) (Last Four Digits of Federal Tax ID: 3808); (iv) Employees Retirement System of the Government of the Commonwealth of Puerto Rico ("ERS") (Bankruptcy Case No. 17-BK-3566 (LTS)) (Last Four Digits of Federal Tax ID: 9686); and (v) Puerto Rico Electric Power Authority ("PREPA") (Bankruptcy Case No. 17-BK-4780 (LTS)) (Last Four Digits of Federal Tax ID: 3747) (Title III case numbers are listed as Bankruptcy Case numbers due to software limitations).



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This Notice of Participation must be served and filed no later than November 18, 2019 in accordance with the instructions set forth at the end of this document.

Absent permission granted by the District Court upon a showing of good cause, any party that has notice of the Initial Objection Procedures but (a) fails to file a Notice of Participation or (b) files a Notice of Participation after November 18, 2019, shall be prohibited from filing separate pleadings with respect to the Claim Objections (as defined in the Initial Objection Procedures), including filing any Additional Claim Objections (as defined in the Initial Objection Procedures).

The party identified below ("Participant") hereby advises the Official Committee of Unsecured Creditors, the Official Committee of Retired Employees of the Commonwealth of Puerto Rico, the Financial Oversight and Management Board for Puerto Rico, the Special Claims Committee of the Financial Oversight and Management Board for Puerto Rico, and the Puerto Rico Fiscal Agency and Financial Advisory Authority that it intends to participate in the litigation of the Claim Objections with respect to bonds issued by the Employees Retirement System of the Government of the Commonwealth of Puerto Rico in 2008 (the "ERS Bonds").

To ensure full participation rights in the litigation of the Claim Objections, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

**Participant Name and Contact Information**

**Counsel Contact Information (if any)**

Karl Walder  
Participant Name

\_\_\_\_\_  
Firm Name (if applicable)

\_\_\_\_\_  
Contact Person (if Participant is not an individual)

\_\_\_\_\_  
Contact Person

kwcubuy@yahoo.com  
Email Address

\_\_\_\_\_  
Email Address

PO Box 16783  
Address line 1

\_\_\_\_\_  
Address line 1

\_\_\_\_\_  
Address line 2

\_\_\_\_\_  
Address line 2

San Juan, PR 00908  
City, State Zip Code

\_\_\_\_\_  
City, State Zip Code

USA  
Country

\_\_\_\_\_  
Country

2. Participant advises that it (choose **one** of the following by marking "X" in the appropriate space):

\_\_\_\_\_  
intends to **support** the relief requested in the Claim Objections (i.e., Participant believes the District Court should find that the ERS Bonds are **invalid**); or

**X** intends to **oppose** the relief requested in the Claim Objections (i.e., Participant believes that the District Court should find that the ERS Bonds are **valid**)

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3. If Participant is not a holder of an ERS Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more ERS Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

- (a) Provide the CUSIP Numbers of all ERS Bonds held by Participant:

29216 MAF7, 29216 MAC4

- (b) Did Participant purchase any of its ERS Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By: 

Signature

Karl Walder

Print Name

Title (if Participant is not an Individual)

October 30, 2019

Date

**Instructions for Serving and Filing Notice of Participation:** This Notice of Participation must be (i) **served** by email on the Notice Parties set forth in paragraph 8 of the Objection Procedures and (ii) **filed** electronically with the District Court pursuant to its Electronic Case Filing procedures. **If the Participant is not represented by counsel, the Participant may file a paper copy of this Notice of Participation with the District Court by delivering such Notice of Participation by mail or by hand addressed to: The Clerk of the United States District Court for the District of Puerto Rico, Room 150 Federal Building, 150 Carlos Chardon Avenue, San Juan, PR 00918-1767.**

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**Participant Name and Contact Information**

**Counsel Contact Information (if any)**

Karl Walder  
Participant Name

\_\_\_\_\_  
Firm Name (if applicable)

\_\_\_\_\_  
Contact Person (if Participant is not an individual)

\_\_\_\_\_  
Contact Person

kwcubuy@yahoo.com  
Email Address

\_\_\_\_\_  
Email Address

PO Box 14783  
Address line 1

\_\_\_\_\_  
Address line 1

\_\_\_\_\_  
Address line 2

\_\_\_\_\_  
Address line 2

San Juan, PR 00908  
City, State Zip Code

\_\_\_\_\_  
City, State Zip Code

USA  
Country

\_\_\_\_\_  
Country

2. Participant advises that it (choose **one** of the following by marking "X" in the appropriate space):

\_\_\_\_\_  
intends to **support** the relief requested in the Claim Objections (i.e., Participant believes the District Court should find that the ERS Bonds are **invalid**); or

X intends to **oppose** the relief requested in the Claim Objections (i.e., Participant believes that the District Court should find that the ERS Bonds are **valid**)



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- (a) Provide the CUSIP Numbers of all ERS Bonds held by Participant:

29216 MAF7, 29216 MAC4

- (b) Did Participant purchase any of its ERS Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By: 

Signature

Karl Walder

Print Name

Title (if Participant is not an Individual)

October 30, 2019

Date

**Instructions for Serving and Filing Notice of Participation:** This Notice of Participation must be (i) served by email on the Notice Parties set forth in paragraph 8 of the Objection Procedures and (ii) filed electronically with the District Court pursuant to its Electronic Case Filing procedures. **If the Participant is not represented by counsel, the Participant may file a paper copy of this Notice of Participation with the District Court by delivering such Notice of Participation by mail or by hand addressed to: The Clerk of the United States District Court for the District of Puerto Rico, Room 150 Federal Building, 150 Carlos Chardon Avenue, San Juan, PR 00918-1767.**

Karl Walder  
PO Box 16783  
San Juan, PR 00908

Case:17-03283-LTS Doc#:9100-1 Filed:11/01/19 Entered:11/04/19 14:47:32 Desc:  
Pro Se Notices of Participation Page 26 of 61

RECEIVED & FILED

2019 NOV -1 PM 5:01

CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, P.R.

The Clerk of The US District Court  
For The District of Puerto Rico  
Room 150 Federal Building  
150 Carlos Chardón Avenue  
San Juan, PR 00918-1767

31 OCT 19  
PM 3



UNITED STATES POSTAGE  
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02 1P  
0000607858 OCT 30 2019  
MAILED FROM ZIP CODE 00907

00918-170625



**\*THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019\***

**UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO**

RECEIVED & FILED

2019 NOV -1 PM 5:02

CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, P.R.

In re:

THE FINANCIAL OVERSIGHT AND  
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE COMMONWEALTH OF PUERTO RICO *et al.*,

Debtors.<sup>1</sup>

X

:

:

:

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:

:

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X

In re:

THE FINANCIAL OVERSIGHT AND  
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE EMPLOYEES RETIREMENT SYSTEM OF THE  
GOVERNMENT OF THE COMMONWEALTH OF  
PUERTO RICO,

Debtor.

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X

**NOTICE OF PARTICIPATION IN LITIGATION  
OF OBJECTIONS TO ERS BOND CLAIMS**

<sup>1</sup> The Debtors in these Title III cases, along with each Debtor's respective Title III case number and the last four (4) digits of each Debtor's federal tax identification number, as applicable, are the (i) Commonwealth of Puerto Rico (the "Commonwealth") (Bankruptcy Case No. 17-BK-3283 (LTS)) (Last Four Digits of Federal Tax ID: 3481); (ii) Puerto Rico Sales Tax Financing Corporation ("COFINA") (Bankruptcy Case No. 17-BK-3284 (LTS)) (Last Four Digits of Federal Tax ID: 8474); (iii) Puerto Rico Highways and Transportation Authority ("HTA") (Bankruptcy Case No. 17-BK-3567 (LTS)) (Last Four Digits of Federal Tax ID: 3808); (iv) Employees Retirement System of the Government of the Commonwealth of Puerto Rico ("ERS") (Bankruptcy Case No. 17-BK-3566 (LTS)) (Last Four Digits of Federal Tax ID: 9686); and (v) Puerto Rico Electric Power Authority ("PREPA") (Bankruptcy Case No. 17-BK-4780 (LTS)) (Last Four Digits of Federal Tax ID: 3747) (Title III case numbers are listed as Bankruptcy Case numbers due to software limitations).

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The party identified below ("Participant") hereby advises the Official Committee of Unsecured Creditors, the Official Committee of Retired Employees of the Commonwealth of Puerto Rico, the Financial Oversight and Management Board for Puerto Rico, the Special Claims Committee of the Financial Oversight and Management Board for Puerto Rico, and the Puerto Rico Fiscal Agency and Financial Advisory Authority that it intends to participate in the litigation of the Claim Objections with respect to bonds issued by the Employees Retirement System of the Government of the Commonwealth of Puerto Rico in 2008 (the "ERS Bonds").

To ensure full participation rights in the litigation of the Claim Objections, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

**Participant Name and Contact Information**

**Counsel Contact Information (if any)**

Thomas B McDaritt  
Participant Name

\_\_\_\_\_  
Firm Name (if applicable)

\_\_\_\_\_  
Contact Person (if Participant is not an individual)

\_\_\_\_\_  
Contact Person

tmcdaritt@cox.net  
Email Address

\_\_\_\_\_  
Email Address

4300 W 13<sup>th</sup> St No  
Address line 1

\_\_\_\_\_  
Address line 1

Room #1105  
Address line 2

\_\_\_\_\_  
Address line 2

Wichita, KS 67212  
City, State Zip Code

\_\_\_\_\_  
City, State Zip Code

USA  
Country

\_\_\_\_\_  
Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

\_\_\_\_\_  
intends to **support** the relief requested in the Claim Objections (i.e., Participant believes the District Court should find that the ERS Bonds are **invalid**); or

☒ intends to **oppose** the relief requested in the Claim Objections (i.e., Participant believes that the District Court should find that the ERS Bonds are **valid**)

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3. If Participant is not a holder of an ERS Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more ERS Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

- (a) Provide the CUSIP Numbers of all ERS Bonds held by Participant:

29216MAC4

- (b) Did Participant purchase any of its ERS Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By: Thomas B McDavid  
Signature

THOMAS B McDAVITT  
Print Name

\_\_\_\_\_  
Title (if Participant is not an Individual)

10/22/19  
Date

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10/29/2019

US POSTAGE

\$001.45<sup>0</sup>



ZIP 67206  
011D11650520



# Riedl First Securities

COMPANY OF KANSAS

1841 N. Rock Rd. Ct. Ste 400

Wichita, KS 67206-4213

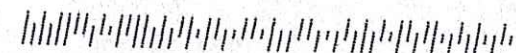
**First Class Mail**  
**First Class Mail**

RECEIVED & FILED

2019 NOV -1 PM 5:02

CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, P.R.

*The Clerk of the United States District Court,  
of Puerto Rico, Room 150 Federal Building  
150 Carlos Chardon Avenue  
San Juan, PR 00918-1767*



**\*THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019\***

**UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO**

RECEIVED & FILED  
2019 NOV -1 PM 5:03

In re:

THE FINANCIAL OVERSIGHT AND  
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE COMMONWEALTH OF PUERTO RICO *et al.*,

Debtors.<sup>1</sup>

X

:

:

:

: PROMESA

: Title III

:

: Case No. 17-BK-3283 (LTS)

:

: (Jointly Administered)

:

:

X

In re:

THE FINANCIAL OVERSIGHT AND  
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE EMPLOYEES RETIREMENT SYSTEM OF THE  
GOVERNMENT OF THE COMMONWEALTH OF  
PUERTO RICO,

Debtor.

:

:

:

: PROMESA

: Title III

:

: Case No. 17-BK-3566 (LTS)

:

:

:

:

:

X

**NOTICE OF PARTICIPATION IN LITIGATION  
OF OBJECTIONS TO ERS BOND CLAIMS**

<sup>1</sup> The Debtors in these Title III cases, along with each Debtor's respective Title III case number and the last four (4) digits of each Debtor's federal tax identification number, as applicable, are the (i) Commonwealth of Puerto Rico (the "Commonwealth") (Bankruptcy Case No. 17-BK-3283 (LTS)) (Last Four Digits of Federal Tax ID: 3481); (ii) Puerto Rico Sales Tax Financing Corporation ("COFINA") (Bankruptcy Case No. 17-BK-3284 (LTS)) (Last Four Digits of Federal Tax ID: 8474); (iii) Puerto Rico Highways and Transportation Authority ("HTA") (Bankruptcy Case No. 17-BK-3567 (LTS)) (Last Four Digits of Federal Tax ID: 3808); (iv) Employees Retirement System of the Government of the Commonwealth of Puerto Rico ("ERS") (Bankruptcy Case No. 17-BK-3566 (LTS)) (Last Four Digits of Federal Tax ID: 9686); and (v) Puerto Rico Electric Power Authority ("PREPA") (Bankruptcy Case No. 17-BK-4780 (LTS)) (Last Four Digits of Federal Tax ID: 3747) (Title III case numbers are listed as Bankruptcy Case numbers due to software limitations).

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To ensure full participation rights in the litigation of the Claim Objections, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

**Participant Name and Contact Information**

James M Cole  
Participant Name

Contact Person (if Participant is not an individual)

James M Cole  
Email Address

582 Skyline Dr  
Address line 1

Address line 2

Woodland Park, CO 80863  
City, State Zip Code

USA  
Country

**Counsel Contact Information (if any)**

Firm Name (if applicable)

Contact Person

Email Address

Address line 1

Address line 2

City, State Zip Code

Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

☐ intends to support the relief requested in the Claim Objections (i.e., Participant believes the District Court should find that the ERS Bonds are invalid); or

☒ intends to oppose the relief requested in the Claim Objections (i.e., Participant believes that the District Court should find that the ERS Bonds are valid)

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29216MAC4

- (b) Did Participant purchase any of its ERS Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By: James M. Cole  
Signature

James M Cole  
Print Name

\_\_\_\_\_  
Title (if Participant is not an Individual)

10/29/19  
Date

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10/29/2019

US POSTAGE

\$001.45<sup>0</sup>



ZIP 67206  
011D11650520



# Riedl First Securities

COMPANY OF KANSAS

1841 N. Rock Rd. Ct. Ste 400

Wichita, KS 67206-4213

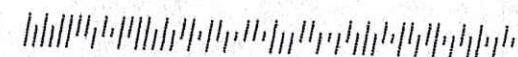
**First Class Mail**  
**First Class Mail**

RECEIVED & FILED

2019 NOV -1 PM 5:02

CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, P.R.

*The Clerk of the United States District Court,  
of Puerto Rico, Room 150 Federal Building  
150 Carlos Chardon Avenue  
San Juan, PR 00918-1767*





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**Participant Name and Contact Information**

James M Cole  
Participant Name

Contact Person (if Participant is not an individual)

jamesmcole@gmail.com  
Email Address

522 Skyline Dr  
Address line 1

Address line 2

Woodland Park, CO 80863  
City, State Zip Code

USA  
Country

**Counsel Contact Information (if any)**

\_\_\_\_\_  
Firm Name (if applicable)

\_\_\_\_\_  
Contact Person

\_\_\_\_\_  
Email Address

\_\_\_\_\_  
Address line 1

\_\_\_\_\_  
Address line 2

\_\_\_\_\_  
City, State Zip Code

\_\_\_\_\_  
Country

2. Participant advises that it (choose **one** of the following by marking "X" in the appropriate space):

\_\_\_\_\_  
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- (a) Provide the CUSIP Numbers of all ERS Bonds held by Participant:

29216MAC4

- (b) Did Participant purchase any of its ERS Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By: James M. Cole  
Signature  
James M Cole  
Print Name

\_\_\_\_\_  
Title (if Participant is not an Individual)

10/29/19  
Date

**Instructions for Serving and Filing Notice of Participation:** This Notice of Participation must be (i) **served** by email on the Notice Parties set forth in paragraph 8 of the Objection Procedures and (ii) **filed** electronically with the District Court pursuant to its Electronic Case Filing procedures. **If the Participant is not represented by counsel, the Participant may file a paper copy of this Notice of Participation with the District Court by delivering such Notice of Participation by mail or by hand addressed to: The Clerk of the United States District Court for the District of Puerto Rico, Room 150 Federal Building, 150 Carlos Chardon Avenue, San Juan, PR 00918-1767.**

10/29/2019  
US POSTAGE \$001.45<sup>0</sup>



ZIP 67206  
011D11650520



# Riedl First Securities

COMPANY OF KANSAS

1841 N. Rock Rd. Ct. Ste 400  
Wichita, KS 67206-4213

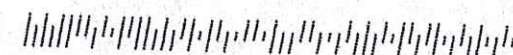
**First Class Mail**  
**First Class Mail**

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CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, P.R.

*The Clerk of the United States District Court,  
of Puerto Rico, Room 150 Federal Building  
150 Carlos Chardon Avenue  
San Juan, PR 00918-1767*



**\*THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019\***

**UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO**

----- X  
In re: :  
THE FINANCIAL OVERSIGHT AND :  
MANAGEMENT BOARD FOR PUERTO RICO, : PROMESA  
: Title III  
: as representative of : Case No. 17-BK-3283 (LTS)  
: THE COMMONWEALTH OF PUERTO RICO *et al.*, : (Jointly Administered)  
: Debtors.<sup>1</sup> :  
----- X

----- X  
In re: :  
THE FINANCIAL OVERSIGHT AND :  
MANAGEMENT BOARD FOR PUERTO RICO, : PROMESA  
: Title III  
: as representative of : Case No. 17-BK-3566 (LTS)  
: THE EMPLOYEES RETIREMENT SYSTEM OF THE :  
GOVERNMENT OF THE COMMONWEALTH OF :  
PUERTO RICO, :  
: Debtor. :  
----- X

**NOTICE OF PARTICIPATION IN LITIGATION  
OF OBJECTIONS TO ERS BOND CLAIMS**

<sup>1</sup> The Debtors in these Title III cases, along with each Debtor's respective Title III case number and the last four (4) digits of each Debtor's federal tax identification number, as applicable, are the (i) Commonwealth of Puerto Rico (the "Commonwealth") (Bankruptcy Case No. 17-BK-3283 (LTS)) (Last Four Digits of Federal Tax ID: 3481); (ii) Puerto Rico Sales Tax Financing Corporation ("COFINA") (Bankruptcy Case No. 17-BK-3284 (LTS)) (Last Four Digits of Federal Tax ID: 8474); (iii) Puerto Rico Highways and Transportation Authority ("HTA") (Bankruptcy Case No. 17-BK-3567 (LTS)) (Last Four Digits of Federal Tax ID: 3808); (iv) Employees Retirement System of the Government of the Commonwealth of Puerto Rico ("ERS") (Bankruptcy Case No. 17-BK-3566 (LTS)) (Last Four Digits of Federal Tax ID: 9686); and (v) Puerto Rico Electric Power Authority ("PREPA") (Bankruptcy Case No. 17-BK-4780 (LTS)) (Last Four Digits of Federal Tax ID: 3747) (Title III case numbers are listed as Bankruptcy Case numbers due to software limitations).

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CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, PR



**\*THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019\***

This Notice of Participation must be served and filed no later than November 18, 2019 in accordance with the instructions set forth at the end of this document.

Absent permission granted by the District Court upon a showing of good cause, any party that has notice of the Initial Objection Procedures but (a) fails to file a Notice of Participation or (b) files a Notice of Participation after November 18, 2019, shall be prohibited from filing separate pleadings with respect to the Claim Objections (as defined in the Initial Objection Procedures), including filing any Additional Claim Objections (as defined in the Initial Objection Procedures).

The party identified below ("Participant") hereby advises the Official Committee of Unsecured Creditors, the Official Committee of Retired Employees of the Commonwealth of Puerto Rico, the Financial Oversight and Management Board for Puerto Rico, the Special Claims Committee of the Financial Oversight and Management Board for Puerto Rico, and the Puerto Rico Fiscal Agency and Financial Advisory Authority that it intends to participate in the litigation of the Claim Objections with respect to bonds issued by the Employees Retirement System of the Government of the Commonwealth of Puerto Rico in 2008 (the "ERS Bonds").

To ensure full participation rights in the litigation of the Claim Objections, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

**Participant Name and Contact Information**

Carol Z Hale

Participant Name

Contact Person (if Participant is not an individual)

CZH1KS@gmail.com

Email Address

10200 Pflum Rd

Address line 1

Address line 2

honeycreek blvd

City, State Zip Code

USA

Country

**Counsel Contact Information (if any)**

Firm Name (if applicable)

Contact Person

Email Address

Address line 1

Address line 2

City, State Zip Code

Country

2. Participant advises that it (choose **one** of the following by marking "X" in the appropriate space):

☐ intends to **support** the relief requested in the Claim Objections (i.e., Participant believes the District Court should find that the ERS Bonds are **invalid**); or

☒ intends to **oppose** the relief requested in the Claim Objections (i.e., Participant believes that the District Court should find that the ERS Bonds are **valid**)

**\*THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019\***

3. If Participant is not a holder of an ERS Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more ERS Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

- (a) Provide the CUSIP Numbers of all ERS Bonds held by Participant:

29216MAC4

- (b) Did Participant purchase any of its ERS Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By:

Signature

Print Name

Title (if Participant is not an Individual)

Date

**Instructions for Serving and Filing Notice of Participation:** This Notice of Participation must be (i) served by email on the Notice Parties set forth in paragraph 8 of the Objection Procedures and (ii) ~~filed~~ electronically with the District Court pursuant to its Electronic Case Filing procedures. **If the Participant is not represented by counsel, the Participant may file a paper copy of this Notice of Participation with the District Court by delivering such Notice of Participation by mail or by hand addressed to: The Clerk of the United States District Court for the District of Puerto Rico, Room 150 Federal Building, 150 Carlos Chardon Avenue, San Juan, PR 00918-1767.**

10/29/2019

US POSTAGE

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ZIP 67206  
011D11650520



# Riedl First Securities

COMPANY OF KANSAS

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Wichita, KS 67206-4213

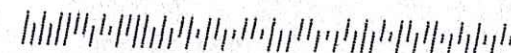
**First Class Mail**  
**First Class Mail**

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CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, P.R.

*The Clerk of the United States District Court,  
of Puerto Rico, Room 150 Federal Building  
150 Carlos Chardon Avenue  
San Juan, PR 00918-1767*





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UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO

RECEIVED & FILED  
2019 NOV -1 PM 5:03  
CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, P.R.

----- X  
In re: :  
THE FINANCIAL OVERSIGHT AND : PROMESA  
MANAGEMENT BOARD FOR PUERTO RICO, : Title III  
:   
as representative of : Case No. 17-BK-3283 (LTS)  
:   
THE COMMONWEALTH OF PUERTO RICO *et al.*, : (Jointly Administered)  
:   
Debtors.<sup>1</sup> :  
----- X

In re: :  
THE FINANCIAL OVERSIGHT AND : PROMESA  
MANAGEMENT BOARD FOR PUERTO RICO, : Title III  
:   
as representative of : Case No. 17-BK-3566 (LTS)  
:   
THE EMPLOYEES RETIREMENT SYSTEM OF THE :  
GOVERNMENT OF THE COMMONWEALTH OF :  
PUERTO RICO, :  
:   
Debtor. :  
----- X

**NOTICE OF PARTICIPATION IN LITIGATION  
OF OBJECTIONS TO ERS BOND CLAIMS**

<sup>1</sup> The Debtors in these Title III cases, along with each Debtor's respective Title III case number and the last four (4) digits of each Debtor's federal tax identification number, as applicable, are the (i) Commonwealth of Puerto Rico (the "Commonwealth") (Bankruptcy Case No. 17-BK-3283 (LTS)) (Last Four Digits of Federal Tax ID: 3481); (ii) Puerto Rico Sales Tax Financing Corporation ("COFINA") (Bankruptcy Case No. 17-BK-3284 (LTS)) (Last Four Digits of Federal Tax ID: 8474); (iii) Puerto Rico Highways and Transportation Authority ("HTA") (Bankruptcy Case No. 17-BK-3567 (LTS)) (Last Four Digits of Federal Tax ID: 3808); (iv) Employees Retirement System of the Government of the Commonwealth of Puerto Rico ("ERS") (Bankruptcy Case No. 17-BK-3566 (LTS)) (Last Four Digits of Federal Tax ID: 9686); and (v) Puerto Rico Electric Power Authority ("PREPA") (Bankruptcy Case No. 17-BK-4780 (LTS)) (Last Four Digits of Federal Tax ID: 3747) (Title III case numbers are listed as Bankruptcy Case numbers due to software limitations).



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To ensure full participation rights in the litigation of the Claim Objections, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

**Participant Name and Contact Information**

Mary J Frank  
Participant Name

Contact Person (if Participant is not an individual)

MARY J. FRANK.civemail.m  
Email Address

1254 Kelly Brown  
Address line 1

Address line 2

Arab, AL 35016  
City, State Zip Code

USA  
Country

**Counsel Contact Information (if any)**

Firm Name (if applicable)

Contact Person

Email Address

Address line 1

Address line 2

City, State Zip Code

Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

☐ intends to **support** the relief requested in the Claim Objections (i.e., Participant believes the District Court should find that the ERS Bonds are **invalid**); or

☒ intends to **oppose** the relief requested in the Claim Objections (i.e., Participant believes that the District Court should find that the ERS Bonds are **valid**)

**\*THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019\***

3. If Participant is not a holder of an ERS Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more ERS Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

(a) Provide the CUSIP Numbers of all ERS Bonds held by Participant:

29216MACY

(b) Did Participant purchase any of its ERS Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By: Mary J. Frank  
Signature

Mary J. Frank  
Print Name

\_\_\_\_\_  
Title (if Participant is not an Individual)

10/29/19  
Date

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10/29/2019

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ZIP 67206  
011D11650520



# Riedl First Securities

COMPANY OF KANSAS

1841 N. Rock Rd. Ct. Ste 400

Wichita, KS 67206-4213

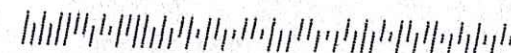
**First Class Mail**  
**First Class Mail**

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CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, P.R.

*The Clerk of the United States District Court,  
of Puerto Rico, Room 150 Federal Building  
150 Carlos Chardon Avenue  
San Juan, PR 00918-1767*



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CLERK

Debtors.<sup>1</sup>

X  
 :  
 :  
 :  
 :  
 : PROMESA  
 : Title III  
 :  
 : Case No. 17-BK-3283 (LTS)  
 :  
 : (Jointly Administered)

Debtor.

X  
:  
:  
:  
:  
:  
: PROMESA  
: Title III  
:  
: Case No. 17-BK-3566 (LTS)  
:  
:  
:  
:  
:  
:  
:  
:  
:  
X

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1. Participant's contact information, including email address, and that of its counsel, if any:

**Participant Name and Contact Information**

107e D. L. Ssec  
Participant Name

\_\_\_\_\_  
Contact Person (if Participant is not an individual)

\_\_\_\_\_  
Email Address

11471 Lawrence Co 2091  
Address line 1

\_\_\_\_\_  
Address line 2

Ant. Verano MD 65712  
City, State Zip Code

USA  
Country

**Counsel Contact Information (if any)**

\_\_\_\_\_  
Firm Name (if applicable)

\_\_\_\_\_  
Contact Person

\_\_\_\_\_  
Email Address

\_\_\_\_\_  
Address line 1

\_\_\_\_\_  
Address line 2

\_\_\_\_\_  
City, State Zip Code

\_\_\_\_\_  
Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

\_\_\_\_\_  
intends to **support** the relief requested in the Claim Objections (i.e., Participant believes the District Court should find that the ERS Bonds are **invalid**); or

☒ intends to **oppose** the relief requested in the Claim Objections (i.e., Participant believes that the District Court should find that the ERS Bonds are **valid**)



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3. If Participant is not a holder of an ERS Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more ERS Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

- (a) Provide the CUSIP Numbers of all ERS Bonds held by Participant:

29216MAC4

- (b) Did Participant purchase any of its ERS Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By:

Signature

*Taze D. Jesse*

Print Name

*Taze D. Jesse*

Title (if Participant is not an Individual)

Date

*10-24-2019*

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10/29/2019

US POSTAGE

\$001.45<sup>0</sup>



ZIP 67206  
011D11650520



# Riedl First Securities

COMPANY OF KANSAS

1841 N. Rock Rd. Ct. Ste 400

Wichita, KS 67206-4213

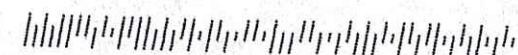
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**First Class Mail**

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CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, P.R.

*The Clerk of the United States District Court,  
of Puerto Rico, Room 150 Federal Building  
150 Carlos Chardon Avenue  
San Juan, PR 00918-1767*



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**UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO**

RECEIVED & FILED  
2019 NOV -1 PM 5:03

CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, PR

In re:

THE FINANCIAL OVERSIGHT AND  
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE COMMONWEALTH OF PUERTO RICO *et al.*,

Debtors.<sup>1</sup>

X

:

:

:

: PROMESA

: Title III

:

: Case No. 17-BK-3283 (LTS)

:

: (Jointly Administered)

:

:

X

In re:

THE FINANCIAL OVERSIGHT AND  
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE EMPLOYEES RETIREMENT SYSTEM OF THE  
GOVERNMENT OF THE COMMONWEALTH OF  
PUERTO RICO,

Debtor.

:

:

:

: PROMESA

: Title III

:

: Case No. 17-BK-3566 (LTS)

:

:

:

:

:

:

X

**NOTICE OF PARTICIPATION IN LITIGATION  
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<sup>1</sup> The Debtors in these Title III cases, along with each Debtor's respective Title III case number and the last four (4) digits of each Debtor's federal tax identification number, as applicable, are the (i) Commonwealth of Puerto Rico (the "Commonwealth") (Bankruptcy Case No. 17-BK-3283 (LTS)) (Last Four Digits of Federal Tax ID: 3481); (ii) Puerto Rico Sales Tax Financing Corporation ("COFINA") (Bankruptcy Case No. 17-BK-3284 (LTS)) (Last Four Digits of Federal Tax ID: 8474); (iii) Puerto Rico Highways and Transportation Authority ("HTA") (Bankruptcy Case No. 17-BK-3567 (LTS)) (Last Four Digits of Federal Tax ID: 3808); (iv) Employees Retirement System of the Government of the Commonwealth of Puerto Rico ("ERS") (Bankruptcy Case No. 17-BK-3566 (LTS)) (Last Four Digits of Federal Tax ID: 9686); and (v) Puerto Rico Electric Power Authority ("PREPA") (Bankruptcy Case No. 17-BK-4780 (LTS)) (Last Four Digits of Federal Tax ID: 3747) (Title III case numbers are listed as Bankruptcy Case numbers due to software limitations).

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To ensure full participation rights in the litigation of the Claim Objections, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

**Participant Name and Contact Information**

Karen Mansfield  
Participant Name

Contact Person (if Participant is not an individual)

bluegecko2121@yahoo.com  
Email Address

7628 Martino Cr  
Address line 1

Address line 2

Naples FL 34112  
City, State Zip Code

USA  
Country

**Counsel Contact Information (if any)**

\_\_\_\_\_  
Firm Name (if applicable)

\_\_\_\_\_  
Contact Person

\_\_\_\_\_  
Email Address

\_\_\_\_\_  
Address line 1

\_\_\_\_\_  
Address line 2

\_\_\_\_\_  
City, State Zip Code

\_\_\_\_\_  
Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

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(a) Provide the CUSIP Numbers of all ERS Bonds held by Participant:

29216MACY

(b) Did Participant purchase any of its ERS Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By:

Signature

KAREN MANSFELD

Print Name

Title (if Participant is not an Individual)

10/23/19

Date

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10/29/2019

US POSTAGE

\$001.45<sup>0</sup>



ZIP 67206  
011D11650520



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COMPANY OF KANSAS

1841 N. Rock Rd. Ct. Ste 400

Wichita, KS 67206-4213

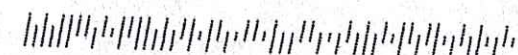
**First Class Mail**  
**First Class Mail**

RECEIVED & FILED

2019 NOV -1 PM 5:02

CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, P.R.

*The Clerk of the United States District Court,  
of Puerto Rico, Room 150 Federal Building  
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San Juan, PR 00918-1767*



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The party identified below ("Participant") hereby advises the Official Committee of Unsecured Creditors, the Official Committee of Retired Employees of the Commonwealth of Puerto Rico, the Financial Oversight and Management Board for Puerto Rico, the Special Claims Committee of the Financial Oversight and Management Board for Puerto Rico, and the Puerto Rico Fiscal Agency and Financial Advisory Authority that it intends to participate in the litigation of the Claim Objections with respect to bonds issued by the Employees Retirement System of the Government of the Commonwealth of Puerto Rico in 2008 (the "ERS Bonds").

To ensure full participation rights in the litigation of the Claim Objections, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

**Participant Name and Contact Information**

**Counsel Contact Information (if any)**

Charles I & Wilma P Hummert Trust

Participant Name

Firm Name (if applicable)

Charles Hummert

Contact Person (if Participant is not an individual)

Contact Person

Email Address

Email Address

5620 NW Northboro Dr

Address line 1

Address line 1

Address line 2

Address line 2

Topeka, KS 66618

City, State Zip Code

City, State Zip Code

USA

Country

Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

\_\_\_\_\_ intends to **support** the relief requested in the Claim Objections (i.e., Participant believes the District Court should find that the ERS Bonds are **invalid**); or

X intends to **oppose** the relief requested in the Claim Objections (i.e., Participant believes that the District Court should find that the ERS Bonds are **valid**)

**\*THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019\***

3. If Participant is not a holder of an ERS Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more ERS Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

- (a) Provide the CUSIP Numbers of all ERS Bonds held by Participant:

29216MAC4

- (b) Did Participant purchase any of its ERS Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By: Charles I Hummert - Wilma P. Hummert  
Signature

Charles I Hummert - Wilma P. Hummert  
Print Name

\_\_\_\_\_  
Title (if Participant is not an Individual)

October 22 2019  
Date

**Instructions for Serving and Filing Notice of Participation:** This Notice of Participation must be (i) served by email on the Notice Parties set forth in paragraph 8 of the Objection Procedures and (ii) filed electronically with the District Court pursuant to its Electronic Case Filing procedures. **If the Participant is not represented by counsel, the Participant may file a paper copy of this Notice of Participation with the District Court by delivering such Notice of Participation by mail or by hand addressed to: The Clerk of the United States District Court for the District of Puerto Rico, Room 150 Federal Building, 150 Carlos Chardon Avenue, San Juan, PR 00918-1767.**

10/29/2019

US POSTAGE \$001.45<sup>0</sup>



ZIP 67206  
011D11650520



# Riedl First Securities

COMPANY OF KANSAS

1841 N. Rock Rd. Ct. Ste 400  
Wichita, KS 67206-4213

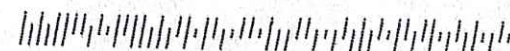
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CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, P.R.

*The Clerk of the United States District Court,  
of Puerto Rico, Room 150 Federal Building  
150 Carlos Chardon Avenue  
San Juan, PR. 00918-1767*





**\*THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019\***

**UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO**

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2019 NOV -1 PM 5:02  
CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, P.R.

----- X  
In re: :  
THE FINANCIAL OVERSIGHT AND : PROMESA  
MANAGEMENT BOARD FOR PUERTO RICO, : Title III  
as representative of : Case No. 17-BK-3283 (LTS)  
THE COMMONWEALTH OF PUERTO RICO *et al.*, : (Jointly Administered)  
Debtors.<sup>1</sup> :  
----- X

In re: :  
THE FINANCIAL OVERSIGHT AND : PROMESA  
MANAGEMENT BOARD FOR PUERTO RICO, : Title III  
as representative of : Case No. 17-BK-3566 (LTS)  
THE EMPLOYEES RETIREMENT SYSTEM OF THE :  
GOVERNMENT OF THE COMMONWEALTH OF :  
PUERTO RICO, :  
Debtor. :  
----- X

**NOTICE OF PARTICIPATION IN LITIGATION  
OF OBJECTIONS TO ERS BOND CLAIMS**

<sup>1</sup> The Debtors in these Title III cases, along with each Debtor's respective Title III case number and the last four (4) digits of each Debtor's federal tax identification number, as applicable, are the (i) Commonwealth of Puerto Rico (the "Commonwealth") (Bankruptcy Case No. 17-BK-3283 (LTS)) (Last Four Digits of Federal Tax ID: 3481); (ii) Puerto Rico Sales Tax Financing Corporation ("COFINA") (Bankruptcy Case No. 17-BK-3284 (LTS)) (Last Four Digits of Federal Tax ID: 8474); (iii) Puerto Rico Highways and Transportation Authority ("HTA") (Bankruptcy Case No. 17-BK-3567 (LTS)) (Last Four Digits of Federal Tax ID: 3808); (iv) Employees Retirement System of the Government of the Commonwealth of Puerto Rico ("ERS") (Bankruptcy Case No. 17-BK-3566 (LTS)) (Last Four Digits of Federal Tax ID: 9686); and (v) Puerto Rico Electric Power Authority ("PREPA") (Bankruptcy Case No. 17-BK-4780 (LTS)) (Last Four Digits of Federal Tax ID: 3747) (Title III case numbers are listed as Bankruptcy Case numbers due to software limitations).



**\*THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019\***

**This Notice of Participation must be served and filed no later than November 18, 2019 in accordance with the instructions set forth at the end of this document.**

**Absent permission granted by the District Court upon a showing of good cause, any party that has notice of the Initial Objection Procedures but (a) fails to file a Notice of Participation or (b) files a Notice of Participation after November 18, 2019, shall be prohibited from filing separate pleadings with respect to the Claim Objections (as defined in the Initial Objection Procedures), including filing any Additional Claim Objections (as defined in the Initial Objection Procedures).**

The party identified below ("Participant") hereby advises the Official Committee of Unsecured Creditors, the Official Committee of Retired Employees of the Commonwealth of Puerto Rico, the Financial Oversight and Management Board for Puerto Rico, the Special Claims Committee of the Financial Oversight and Management Board for Puerto Rico, and the Puerto Rico Fiscal Agency and Financial Advisory Authority that it intends to participate in the litigation of the Claim Objections with respect to bonds issued by the Employees Retirement System of the Government of the Commonwealth of Puerto Rico in 2008 (the "ERS Bonds").

To ensure full participation rights in the litigation of the Claim Objections, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

**Participant Name and Contact Information**

Sherrie L Ortolani  
Participant Name

Contact Person (if Participant is not an individual)

sherrilortolani@gmail.com  
Email Address

8621 Greenway Lane  
Address line 1

Address line 2

hempstead, KS 66215  
City, State Zip Code

USA  
Country

**Counsel Contact Information (if any)**

\_\_\_\_\_  
Firm Name (if applicable)

\_\_\_\_\_  
Contact Person

\_\_\_\_\_  
Email Address

\_\_\_\_\_  
Address line 1

\_\_\_\_\_  
Address line 2

\_\_\_\_\_  
City, State Zip Code

\_\_\_\_\_  
Country

2. Participant advises that it (choose **one** of the following by marking "X" in the appropriate space):

\_\_\_\_\_ intends to **support** the relief requested in the Claim Objections (i.e., Participant believes the District Court should find that the ERS Bonds are **invalid**); or

☒ intends to **oppose** the relief requested in the Claim Objections (i.e., Participant believes that the District Court should find that the ERS Bonds are **valid**)

**\*THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019\***

3. If Participant is not a holder of an ERS Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more ERS Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

- (a) Provide the CUSIP Numbers of all ERS Bonds held by Participant:

29216MAC4

- (b) Did Participant purchase any of its ERS Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By: Sherri L. Ortolan  
Signature

Sherri L. Ortolan  
Print Name

\_\_\_\_\_  
Title (if Participant is not an Individual)

10/29/19  
Date

**Instructions for Serving and Filing Notice of Participation:** This Notice of Participation must be (i) served by email on the Notice Parties set forth in paragraph 8 of the Objection Procedures and (ii) filed electronically with the District Court pursuant to its Electronic Case Filing procedures. **If the Participant is not represented by counsel, the Participant may file a paper copy of this Notice of Participation with the District Court by delivering such Notice of Participation by mail or by hand addressed to: The Clerk of the United States District Court for the District of Puerto Rico, Room 150 Federal Building, 150 Carlos Chardon Avenue, San Juan, PR 00918-1767.**

10/29/2019

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**First Class Mail**

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U.S. DISTRICT COURT  
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*The Clerk of the United States District Court,  
of Puerto Rico, Room 150 Federal Building  
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